



UNITED STATES  
PATENT AND  
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Commissioner for Patents  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,149	04/30/2001	Christian Schwabe	CONN015CON

Karl Bozicevic  
Bozicevic, Field and Francis LLP  
Suite 200  
200 Middlefield Road  
Menlo Park, CA 94025

**CONFIRMATION NO. 6580**  
**FORMALITIES LETTER**



\*OC000000009542394\*

Date Mailed: 02/20/2003

**NOTICE TO FILE CORRECTED APPLICATION PAPERS**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52, 1.121(b)(3), and 1.125, is required. The specification, claims, or abstract page(s) submitted is not acceptable and cannot be scanned or properly stored because:
  - Papers must be legibly written either by a typewriter or mechanical printer in permanent ink or its equivalent in portrait orientation on flexible, strong, smooth, non-shiny, durable, and white paper. Application papers must be presented in a form having sufficient clarity and contrast between the paper and the writing thereon to permit the direct reproduction of readily legible copies in any number by use of photographic, electrostatic, photo-offset, and microfilming processes and electronic reproduction by use of digital imaging and optical character recognition. Pages 1-48 are not in compliance with 37 CFR 1.52(a).
- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch). See Figures(s) 2, 6.
  - The drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper, which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e)). See Figure(s) 7.
- A request to transfer the computer readable form from another application on file at the U.S. Patent and Trademark Office has been submitted as permitted by 37 C.F.R. 1.821(e). However, the request cannot be

complied with since there is no compliant CRF present at the United States Patent and Trademark Office. Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
- **For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)**

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*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

	Application Number	09/846,149
	Filing Date	April 30, 2001
	First Named Inventor	SCHWABE, CHRISTIAN
	Group Art Unit	1653
	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	65 + 1 CD	Attorney Docket Number

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"> <li>1) Notice to File Corrected Application Papers (2 pgs.)</li> <li>2) Certification Regarding Sequence Listing and Preliminary Amendment (2 pgs.)</li> <li>3) Sequence Listing (1 CD- CRF Format)</li> <li>4) Communication Regarding Substitute Specification (1 pg.)</li> <li>5) Sequence Listing (2 pgs.)</li> <li>6) Substitute Specification (48 pgs.)</li> <li>7) Drawings (9 pgs.)</li> <li>8) Return Postcard</li> </ul>
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

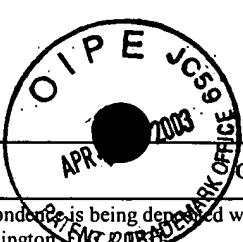
Signing Attorney/Agent (Reg. No.)	PAULA A. BORDEN (REG. NO. 42,344) BOZIEVIC, FIELD & FRANCIS LLP
Signature	
Date	April 18, 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: April 18, 2003.

Typed or printed name	Cindy Hoang	Date	April 18, 2003
Signature			

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Typed or Printed Name      Cindy Hoang

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Date

4/18/03

**Communication Regarding  
Substitute Specification**

Address to:  
BOX SEQUENCE  
Assistant Commissioner for Patents  
PO Box 2327  
Arlington, VA 22202

Attorney Docket No.	CONN-015CON
Confirmation No.	6580
First Named Inventor	SCHWABE, CHRISTIAN
Application Number	09/846,149
Filing Date	April 30, 2001
Group Art Unit	1653
Examiner Name	To Be Assigned
Title:	"RELAXIN-LIKE FACTOR AND METHODS AND USES THEREOF"

Sir:

In response to the Notice to File Corrected Papers dated February 20, 2003 wherein the Patent Office noted a substitute specification in compliance with 37 CFR §§ 1.52., 1.121(b)(3) and 1.125 is required. Applicants provide herewith a Substitute Specification, which includes no new matter.

The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number CONN-015.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: Apr. 18, 2003

By:

  
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